

DOCKET NO: 0039-7484-2SRD



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
OSAMU HORI, ET AL. : EXAMINER: ZIA, SYED
SERIAL NO: 09/472,068 :
2ND RCE FILED: JULY 30, 2004 : GROUP ART UNIT: 2131
FOR: INFORMATION PROVIDING :
METHOD AND APPARATUS, AND
INFORMATION RECEPTION
APPARATUS

REQUEST FOR CONFIRMATION OF CONSIDERATION OF PROPERLY FILED
INFORMATION DISCLOSURE STATEMENTS

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

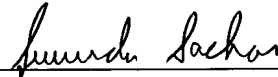
SIR:

Applicants note in the above-identified application an Information Disclosure Statement was filed on May 2, 2003 and an Information Disclosure Statement was filed on September 16, 2003. At this time applicants have not received confirmation of consideration of the references cited in these two Information Disclosure Statements That is, applicants request that returned forms PTO-1449 submitted with those properly filed Information Disclosure Statements be provided to applicants to confirm consideration of the references cited therein.

For convenience, a copy of the two filed Information Disclosure Statements and their date-stamped filing receipts showing their filing, are provided herewith.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Eckhard H. Kuesters
Attorney of Record
Registration No. 28,870
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Registration No. 34,423

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(OSMMN 06/04)

EHK/SNS/law

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OSMM&N File No. 0039-7484-2SRD

Serial No. 09/472,068

In the matter of the Application of: OSAMU HORI ET AL.

For: INFORMATION PROVIDING METHOD AND APPARATUS...

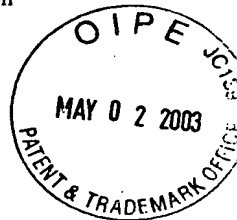
Dept.: E/M

By: GJM/SNS/kmh

Due Date: May 12, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- IDS
- Dep. Acct. Order Form
- PTO Form 1449
- Cited References (3)
- Japanese Office Action w/English Translation
- Check for \$180.00

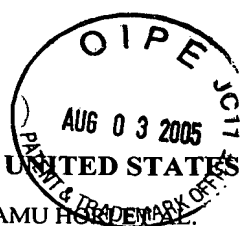


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Docket No. 0039-7484-2SRD



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: OSAMU HOSHINO
SERIAL NO: 09/472,068
FILED: DECEMBER 23, 1999
FOR: INFORMATION PROVIDING METHOD AND APPARATUS...

GAU: 2155
EXAMINER: ZIA, S.

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the attached Japanese Office Action with English translation listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

0039-7484-2SRD

SERIAL NO.

09/472,068

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

OSAMU HORI ET AL.

FILING DATE

DECEMBER 23, 1999

GROUP

2155

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	10-301946	11-13-98	JAPAN (ENGLISH ABSTRACT)		XX
	AP	9-245049	9-19-97	JAPAN (ENGLISH ABSTRACT)		XX
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	AGENT TV, TECHNICAL RESEARCH REPORT FROM THE INSTITUTE OF ELECTRONICS, INFORMATION AND COMMUNICATION ENGINEERS (A198-54), DECEMBER 1, 1998, VOLUME 98, NO. 437, PP. 1-8.
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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OSMM&N File No. 0039-7484-2SRD

Dept.: PP

By: MJS/shb

Serial No. 09/472,068

In the matter of the Application of: Osamu HORI, et al.

For: INFORMATION PROVIDING METHOD AND APPARATUS, AND
INFORMATION RECEPTION APPARATUS

Due Date: 09/29/03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ Dep. Acct. Order Form
- ☒ Information Disclosure Statement
- ☒ Cited References (3)
- ☒ JAPANESE Office Action (with English translation)
- ☒ PTO-1449

COPY



Docket No. 0039-7484-2SRD/shb



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Osamu HORI, et al.

SERIAL NO: 09/472,068

GAU: 2131

FILED: December 23, 1999

EXAMINER: SYED ZIA

RCE FILED: July 7, 2003

FOR: INFORMATION PROVIDING METHOD AND APPARATUS, AND INFORMATION RECEPTION APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached Japanese Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).
- ☐ A credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
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- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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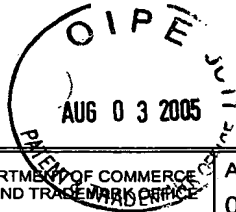
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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

0039-7484-2SRD

SERIAL NO.

09/472,068

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Osamu HORI, et al.

FILING DATE

December 23, 1999

RCE FILED: July 7, 2003

GROUP

2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
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	AJ						
	AK						
	AL						
	AM						
	AN						

COPY

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	T. HASHIMOTO, et al., Information Processing Society of Japan Research Report (98-DBS-116), vol. 98, no. 58, pages 1-8, "A STUDY OF RESTRUCTURED DATA RETRIEVAL FOR SELECTIVE VIEWING OF CONTENTS", July 10, 1998 (with English Abstract)				
	AX	J. KUBOKI, et al., ITEJ Technical Report (BCS' 99-11), vol. 23, no. 28, pages 1-6, "METHOD OF MAKING METADATA FOR TV PRODUCTION USING GENERAL EVENT LIST (GEL)", March 24, 1999 (with English Abstract)				
	AY	T. TAIMA, et al., Technical Report of IEICE (IE95-149), The Institute of Electronics, Information and Communication Engineers, vol. 95, no. 584, pages 9-14, "AN AUTOMATIC STRUCTURING METHOD FOR VIDEO PROGRAMS", March 15, 1996 (with English Abstract)				
	AZ				<input type="checkbox"/> Additional References sheet(s) attached	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.